

# SIQALO FOODS

## POPIA AND PAIA MANUAL

Prepared in accordance with the Promotion of Access to Information Act No. 2 of 2000 ("PAIA"); and the Protection of Personal Information Act No. 4 of 2013 ("POPIA").

### 1. SCOPE OF THE MANUAL

The Siqalo Foods POPIA and PAIA Manual ("Manual") applies in respect of Siqalo Foods Proprietary Limited ("**Siqalo**").

### 2. MANUAL

2.1 This Manual has been prepared in compliance with section 51 of PAIA, as well as regulation 4(c) of POPIA, and is designed to:

2.1.1 provide the necessary information to facilitate access to records in accordance with the provisions of PAIA;

2.1.2 assist any natural or juristic person requesting access to a record that is under the control of Siqalo with the procedure to be followed, as contemplated in PAIA and/or POPIA;

2.1.3 provide notification to Data Subjects of the purpose for which Personal Information is processed, and the standards which Siqalo applies in complying with its obligations in terms of POPIA; and

2.1.4 to inform Data Subjects about how Siqalo Processes their Personal Information by, inter alia, collecting or collating, receiving, recording, storing, updating, distributing, erasing or destroying, disclosing and/or generally using the Data Subject's Personal Information.

2.2 It may be amended from time to time, and such amendments will be published in accordance with law.

2.3 This is the POPIA and PAIA Manual referred to in the Information and Privacy Policy of Siqalo.

2.4 This Manual is available:

2.4.1 at the offices of Siqalo (at the address in section 3 below);

2.4.2 at the offices of the Information Regulator (at the address in section 4 below); and

2.4.3 on the Siqalo Foods website (at the address in section 3 below).

2.5 Capitalised terms used in this Manual, will have the meaning assigned in POPIA and/or PAIA, unless it is clear from the context that is not intended.

### 3. CONTACT DETAILS

The responsibility for managing compliance with PAIA and POPIA and the related administration, have been delegated to the Information Officer. Requests for access to records should be directed to the Information Officer, as follows:

Information Officer:	<b>Andre Mahoney</b>
Physical Address:	<b>6 The Boulevard, Westway Office Park, Westville, 3629</b>
Postal Address:	<b>P O Box 2745, Westway Office Park, Westville, 3635</b>
Telephone Number:	<b>+27 (0) 87 362 8500</b>
E-mail Addresses:	<b>LegalNotices@SiqaloFoods.com</b>
Website Address:	<b><a href="https://siqalofoods.co.za/information-manual">https://siqalofoods.co.za/information-manual</a></b>

#### 4. DESCRIPTION OF GUIDE IN TERMS OF SECTION 10 OF PAIA

A Guide has been compiled (in terms of Section 10 of PAIA) containing information to assist a person wishing to exercise any right contemplated in PAIA and/or POPIA. The guide is available at the offices of the Information Regulator:

Physical Address	<b>JD House, 27 Stiemens Street, Braamfontein, Johannesburg, 2001</b>
Website:	<b><a href="https://www.justice.gov.za/infoereg/">https://www.justice.gov.za/infoereg/</a></b>
E-mail Address:	<b><a href="mailto:infoereg@justice.gov.za">infoereg@justice.gov.za</a> or <a href="mailto:complaints.IR@justice.gov.za">complaints.IR@justice.gov.za</a></b>

#### 5. AUTOMATIC DISCLOSURE

No notice/s has been published regarding the categories of records which are automatically available without having to request access in terms of PAIA.

#### 6. RECORDS AND LEGISLATION

6.1 Siqalo is a diversified food and logistics business with multiple operating divisions and various production plants, agricultural operations, storage facilities, and distribution vehicles located across South Africa.

6.2 Siqalo keeps the records required in order to comply with all legislation which may be applicable to its business operations from time to time, however, due to the large number of applicable laws, and the diversity of our business, the list below of categories of records and of legislation, are by no means exhaustive.

6.3 Categories of records

Human Resources records	Secretarial Statutory records
Administrative records	Banking and Financial records
Health and safety data and records	Property records
Product records	Information technology records
Share registration records	Insurance records
Pension records	Patents and trademarks records

Promotions and competition records	Credit records
Legal records	Customer records
Service Provider/Supplier/Contractor records	Occupational Health & Safety records
Marketing Records	

#### 6.4 Legislation

Basic Conditions of Employment Act 75 of 1997	Companies Act 71 of 2008
Compensation for Occupational Injuries and Diseases Act 130 of 1993	Competition Act 89 of 1998
Constitution of the Republic of South Africa, 1996	Consumer Protection Act 68 of 2008
Electronic Communications and Transactions Act 25 of 2002	Compensation for Occupational Injuries and Diseases Act 130 of 1993
Employment Equity Act 55 of 1998	Income Tax Act 58 of 1962
Insolvency Act 24 of 1936	Labour Relations Act 66 of 1995
National Credit Act 34 of 2005	Occupational Health and Safety Act 85 of 1993
Pension Funds Act 24 of 1956	Skills Development Act 97 of 1998
Tax Administration Act 28 of 2011	Tax on Retirement Funds Act 38 of 1996
Unemployment Insurance Act 63 of 2001	Value Added Tax Act 89 of 1991
Regulation of Interception of Communications and Provision of Communication-Related Information Act 70 of 2002	

6.5 The inclusion of any category of records, or any particular legislation should not be taken to mean that records falling within that category will be made available under PAIA. In particular, certain grounds of refusal as set out in PAIA may be applicable to a request for such records.

## 7. REQUEST FOR ACCESS TO RECORDS

### 7.1 Form and Fee

7.1.1 A request for access to any record must be made using the form prescribed by PAIA, which must:

- (a) be completed clearly and legibly;
- (b) provide sufficient detail to enable the Information Officer to identify the record and the requester;

- (c) include proof of the capacity in which the requester is making the request, if the request is made on behalf of a person;
- (d) indicate the form of access which is required;
- (e) provide a postal address or email address in South Africa;
- (f) if the requester wishes to be informed of the decision on the request in a manner other than by written reply, provide the necessary particulars; and
- (g) identify the right the requester is seeking to exercise or protect, and provide an explanation of why the requested record is required for the exercise or protection of that right.

7.1.2 The completed request form must be submitted to the Information Officer, using the contact details as provided in section 3 of this Information Manual, and must be accompanied by:

- (a) a copy of the requester's identify document;
- (b) where the requester is acting on behalf of someone else, proof of the capacity in which the requester is making the request, to the reasonable satisfaction of the Information Officer); and
- (c) proof of payment of the prescribed fee/s (payment details can be obtained from the Information Officer).

7.1.3 The most recent forms and fee structure prescribed under PAIA are available from the Government Gazette, or at the website of the Department of Justice and Constitutional Development - <https://www.justice.gov.za/paia/paia.htm> and [https://www.justice.gov.za/forms/form\\_paia.htm](https://www.justice.gov.za/forms/form_paia.htm).

## 7.2 Timelines

7.2.1 Once a valid request for access by a requester is received by the Information Officer, it will be granted or refused within 30 (thirty) days, unless the request contains considerations that are of such a nature that an extension of the 30 (thirty) day time limit is necessary, in which case you will be notified with reasons for the extension.

7.2.2 If the Information Officer fails to communicate a decision on a request, such a request is then deemed to have been refused.

7.2.3 If the request is granted, then you must pay a further access fee/s for the reproduction, search and preparation of the records for disclosure, as well as for any time that has exceeded the prescribed hours.

## 7.3 Grounds for Refusal

7.3.1 A request for access by a Requestor must be refused by the Information Officer if:

- (a) the disclosure would involve the unreasonable disclosure of personal information about a third party (natural person), including a deceased individual;
- (b) the record contains (a) trade secrets of a third party, (b) financial, commercial, scientific or technical information, other than trade secrets, of a third party, the disclosure of which would be likely to cause harm to the commercial or financial interests of that third party, or (c) information supplied in confidence by a third party the disclosure of which could

reasonably be expected to put that third party at a disadvantage in contractual or other negotiations; or to prejudice that third party in commercial competition;

- (c) the disclosure of the record would constitute an action for breach of a duty of confidence owed to a third party in terms of an agreement;
- (d) the disclosure could reasonably be expected to endanger the life or physical safety of an individual;
- (e) the record is privileged from production in legal proceedings unless the person entitled to the privilege has waived the privilege; or
- (f) the record contains information about research being or to be carried out by or on behalf of a third party, the disclosure of which would be likely to expose: (a) the third party; (b) a person that is or will be carrying out the research on behalf of the third party; or (c) the subject matter of the research, to serious disadvantage.

7.3.2 A request for access by a Requestor may be refused by the Information Officer if:

- (a) the disclosure would be likely to prejudice or impair: (a) the security of: (i) a building, structure or system, including, but not limited to, a computer or communication system; (ii) a means of transport; or (iii) any other property; or (b) methods, systems, plans or procedures for the protection of: (i) an individual in accordance with a witness protection scheme; (ii) the safety of the public, or any part of the public; or (iii) the security of property contemplated in subparagraph (a) (i), (ii) or (iii);
- (b) the record: (a) contains trade secrets of Siqalo; (b) contains financial, commercial, scientific or technical information, other than trade secrets, the disclosure of which would be likely to cause harm to the commercial or financial interests of Siqalo; (c) contains information, the disclosure of which could reasonably be expected: (i) to put Siqalo at a disadvantage in contractual or other negotiations; or (ii) to prejudice Siqalo in commercial competition; or (d) is a computer program, as defined in section 1(1) of the Copyright Act No. 98 of 1978, owned by Siqalo, except insofar as it is required to give access to a record to which access is granted in terms of PAIA; or
- (c) the record contains information about research being or to be carried out by or on behalf of Siqalo, the disclosure of which would be likely to expose: (a) Siqalo; (b) a person that is or will be carrying out the research on behalf of Siqalo; or (c) the subject matter of the research, to serious disadvantage.

#### 7.4 Remedies on Refusal of Access

Siqalo does not have any internal appeal procedures that may be followed once a request to access information has been refused. If you are not satisfied with the outcome of your request, you are entitled to approach the Information Regulator or apply to a court of competent jurisdiction to take the matter further.

## 8. PROCESSING OF PERSONAL INFORMATION IN TERMS OF POPIA

8.1 This Manual applies to Personal Information collected by Siqalo in all aspects of the operation of its business. Siqalo will always collect Personal Information in a fair, lawful and reasonable manner to ensure that it protects the Data Subject's privacy and will Process the Personal Information based on legitimate grounds in a manner that does not adversely affect the Data Subject in question.

## 8.2 Lawful Processing of Personal Information

8.2.1 Where Siqalo is the Responsible Party, it will only Process a Data Subject's Personal Information where:

- (a) Processing is necessary to carry out the actions for conclusion or performance of a contract to which a Data Subject is party;
- (b) Processing complies with an obligation imposed by law on Siqalo;
- (c) Processing protects a legitimate interest of the Data Subject;
- (d) Processing is necessary for pursuing the legitimate interests of Siqalo or of a third party to whom the information is supplied; and/or
- (e) Consent of the Data Subject (or a competent person, where the Data Subject is a Child) is obtained.

8.2.2 Where Siqalo is relying on a Data Subject's consent as the legal basis for Processing Personal Information, the Data Subject may withdraw his/her/its consent or may object to Siqalo Processing the Personal Information at any time. However, this will not affect the lawfulness of any Processing carried out prior to the withdrawal of consent or any Processing justified by any other legal ground provided under POPIA.

8.2.3 If the consent is withdrawn or if there is otherwise a justified objection against the use or the Processing of such Personal Information, Siqalo will ensure that the Personal Information is no longer Processed.

## 8.3 Special Personal Information and Personal Information of Children

8.3.1 Special Personal Information is sensitive Personal Information of a Data Subject and Siqalo acknowledges that it will generally not Process Special Personal Information unless:

- (a) Processing is carried out in accordance with the Data Subject's consent;
- (b) Processing is necessary for the establishment, exercise or defence of a right or obligation in law;
- (c) Processing is for historical, statistical or research purposes, subject to stipulated safeguards;
- (d) information has deliberately been made public by the Data Subject; or
- (e) specific authorisation has been obtained in terms of POPIA.

8.3.2 Siqalo acknowledges that it may not Process any Personal Information concerning a Child and will only do so where it has obtained the consent of the parent or guardian of that Child or where it is permitted to do so in accordance with applicable laws.

## 8.4 Notification of the Purpose of the Processing of Personal Information:

8.4.1 Siqalo will Process Personal Information only in ways that are for, or compatible with, the purposes for which the data was collected, or that are subsequently authorised by the relevant natural or juristic person to whom Personal Information relates.

- 8.4.2 Sigalo will retain Personal Information only for as long as is necessary to accomplish Sigalo ' legitimate business purposes, or for as long as may be permitted or required by applicable law.
- 8.4.3 Sigalo processes the Personal Information it collects for following, non -exhaustive, purposes:
- (a) providing its products or services to customers and where relevant, for purposes of doing appropriate customer onboarding and credit vetting, and this may include engaging third-party credit vetting agencies;
  - (b) order management, including billing, credit analysis, shipping, account maintenance, and internal administration and accounting for all commercial relationships; managing and analysing sales and demand; communications; business operations; Customer relationship management, invoicing, and collecting payment from customers, including engaging third party service providers to recover outstanding amounts;
  - (c) obtaining products or services from suppliers and service providers, and for purposes of onboarding such suppliers/service providers as approved vendors of Sigalo, including the vetting and verification thereof;
  - (d) the execution of payment processing functions, including receipt and payment of Sigalo ' suppliers'/service providers' invoices;
  - (e) evaluating and procuring raw materials, other inputs and supplies to enable Sigalo to develop, manufacture, package, and deliver its products to its customers;
  - (f) allowing access to and use of Sigalo's electronic and/or online systems and tools, including websites, and applications by any Data Subject, as well as monitoring such use;
  - (g) preventing, discovering and investigating violations of this Manual and other Sigalo policies and procedures, as well as investigating fraud, or other similar matters;
  - (h) for employment-related purposes such as recruiting staff, administering payroll, background checks, etc;
  - (i) internal audit (i.e. ensuring that the appropriate internal controls are in place in order to mitigate the relevant risks, as well as to carry out any investigations where this is required), and other internal control activities relating to contracts; management with Customers, suppliers, vendors, subcontractors and business partners; compliance; reporting activities to fulfil finance and accounts requirements; risk management and corporate audits and assessments; internal investigations; legal filing and reporting; risk management and corporate audits and assessments;
  - (j) external audit;
  - (k) company secretarial, including communications with stakeholders and regulatory bodies. For these purposes, Sigalo will, from time to time, collect information relating to Data Subjects from third parties such as the Companies and Intellectual Property Commission, an agency of the Department of Trade and Industry in South Africa;
  - (l) any legal and other regulatory type proceedings;

- (m) to respond to any correspondence that Siqalo customers, suppliers, service providers, and other third parties may send to Siqalo, including via email or by telephone;
- (n) facilitate access to any of its premises;
- (o) to contact the Data Subject for direct marketing purposes subject to the provisions of Section 9 below;
- (p) in order to address consumer complaints in respect of Siqalo products and services;
- (q) the implementation of promotional competitions;
- (r) complying with and responding to regulatory requests and investigations;
- (s) for such other purposes to which the Data Subject may consent from time to time; and
- (t) for such other purposes as authorised in terms of any applicable law.

8.4.4 For any of these purposes Siqalo from time to time engages external service providers and, in so doing, shares Personal Information of the Data Subjects with such third parties.

## 8.5 Categories of Data Subjects and of the Personal Information relating thereto:

8.5.1 Data Subjects in respect of which Personal Information is Processed include customers, principals, suppliers, service providers, consultants, contractors, employees (and their dependents), job applicants, suppliers, service providers, consultants, and/or contractors of Siqalo.

8.5.2 Siqalo collects Personal Information directly from the Data Subject and/or in some cases from third parties, and where it collects from Third Parties will ensure that it does so lawfully.

8.5.3 Examples of third parties from whom Personal Information is collected include customers and/or principals when Siqalo handles Personal Information on their behalf, regulatory bodies; verification agencies; recruitment agencies; other entities providing goods and/or services to Siqalo; and where Siqalo makes use of publicly available sources of information.

## 8.6 In particular, Siqalo processes the following, non-exhaustive list, of personal information:

First name or initial, last name, entity name	Mail address or geographic location
E-mail address	Telephone number and/or fax number
Unique national, provincial or local identifiers (e.g. passport, tax ID number, ID number, registration number, masters reference number, CK number)	Any other identifier that permits the physical or online contacting of a specific data subject (e.g. user ID, phone numbers, physical addresses)
Date of birth	Age
Gender	Physical characteristics, where relevant (e.g., height, weight, hair)



Full-face photographic images (and comparable images)	Audio-visual or other media recordings
Marital status	Education and training
Bank account numbers	Income related information
Device identifiers (such as serial numbers)	URLs and IP addresses
Vehicle identifiers (such as license plate number)	Family and/or dependant personal information
Conversations (voice recordings, transcripts, or messages)	Employment history

## 8.7 Recipients or categories of recipients to whom Personal Information may be supplied:

- 8.7.1 Sigalo may be required to disclose Personal Information in response to a court order, subpoena, civil discovery request, other legal process, or as otherwise required by law as per statutory authorities and/or the lawful order of any Court or any administrative or statutory commission, tribunal forum or body, South African Revenue Service, or the employee pension and provident funds.
- 8.7.2 Sigalo may disclose Personal Information when we believe disclosure is necessary to comply with the law or to protect the rights, property, or safety of Sigalo, our customers and principals, or others.
- 8.7.3 Sigalo will comply with POPIA before transferring Personal Information to a third party who is not a contractor of Sigalo. Before transferring Personal Information to a third party contractor, such as an authorised service provider, Sigalo will obtain assurances from the third party that it will Process Personal Information in a manner consistent with POPIA. Where Sigalo learns that a third party contractor is using or disclosing Personal Information in a manner contrary to POPIA, Sigalo will take reasonable steps to prevent such use or disclosure.

## 8.8 Planned Transborder Flows of Personal Information:

Sigalo may transfer Personal Information to other entities in its group outside of South Africa, as well as to a third party who is in a foreign country in order to administer certain services, and for storage purposes. In carrying out any cross-border transfers, Sigalo shall adhere to the provisions of POPIA.

## 8.9 Information Security Measures:

- 8.9.1 The security and confidentiality of Personal Information is important to Sigalo. We have implemented reasonable technical, administrative, and physical security measures to protect Personal Information from unauthorised access or disclosure and improper use. Sigalo may store your Personal Information in hardcopy format and/or in electronic format using Sigalo's own secure on-site servers or other internally hosted technology. Your Personal Information may also be stored via cloud services or other technology, by third parties with whom Sigalo has contracted with, to support Sigalo's business operations.
- 8.9.2 We are committed to ensuring that our security measures which protect your Personal Information are continuously reviewed and updated where necessary.

8.9.3 Siqalo's third party service providers, including data storage and processing providers, may from time to time also have access to a Data Subject's Personal Information in connection with purposes for which the Personal Information was initially collected to be Processed. Siqalo will ensure that such third party service providers employ at least the same level of security that Siqalo uses to protect your personal data.

## 8.10 Retention

8.10.1 In terms of POPIA, Siqalo will not retain personal information for a period longer than is necessary to achieve the purpose for which it was collected or processed. This prohibition will not apply in the following circumstances:

- (a) where the retention of the record is required or authorised by law;
- (b) Siqalo requires the record to fulfil its lawful functions or activities;
- (c) retention of the record is required by a contract between the parties thereto;
- (d) the data subject (or competent person, where the data subject is a child) has consented to such longer retention; or
- (e) the record is retained for historical, research or statistical purposes provided safeguards are put in place to prevent use for any other purpose,

whereafter Siqalo will ensure that the Personal Information is deleted, destroyed or de-identified sufficiently so that a person cannot re-identify such Personal Information.

## 9. PERSONAL INFORMATION FOR DIRECT MARKETING PURPOSES

- 9.1 To the extent that Siqalo acts in its capacity as a Direct Marketer, it shall strive to observe, and comply with its obligations under POPIA when implementing principles and practices in relation to Direct Marketing.
- 9.2 Siqalo acknowledges that it may only use Personal Information to contact the Data Subject for purposes of Direct Marketing from time to time where it is permissible to do so.
- 9.3 It may use Personal Information to contact any Data Subject and/or market Siqalo's products /services directly to the Data Subject(s) if the Data Subject is one of Siqalo's existing customers, or the Data Subject has requested to receive marketing material from Siqalo, or Siqalo has the Data Subject's consent to market its services directly to the Data Subject.
- 9.4 If the Data Subject is an existing client, Siqalo will only use its Personal Information if it has obtained the Personal Information through the provision of a product/ service to the Data Subject and only in relation to similar products/services to the ones Siqalo previously provided to the Data Subject.
- 9.5 Siqalo will ensure that a reasonable opportunity is given to the Data Subject to object to the use of their Personal Information for Siqalo's marketing purposes when collecting the Personal Information, and on the occasion of each communication to the Data Subject for purposes of Direct Marketing. Requests to opt-out of marketing should be made via forms and links provided for that purpose in the marketing materials sent.

## 10. FAILURE TO PROVIDE PERSONAL INFORMATION

- 10.1 Should Siqalo need to collect Personal Information by law or under the terms of a contract or relationship that Siqalo may have with you, and you fail to provide the Personal

Information when requested, we may be unable to perform the contract we have or are attempting to enter into with you.

- 10.2 In such a case, Siqalo may have to decline to provide or receive the relevant services or continue with the relationship, and you will be notified where this is the case.

## **11. ACCESS TO PERSONAL INFORMATION**

- 11.1 POPIA read with the relevant provisions of PAIA confer on natural persons and where applicable, juristic persons whose Personal Information is processed, certain rights. These rights include:

11.1.1 a right of access: a Data Subject has the right to: (i) request a Responsible Party to confirm whether any Personal Information is held about the Data Subject; and/or (ii) request from a Responsible Party a description of the Personal Information held, and third parties who have or have had access to the Personal Information. A Data Subject may request.

11.1.2 a right to request correction or deletion: a Data Subject may, in the prescribed form, request Siqalo to:

- (a) correct or delete Personal Information about the Data Subject in its possession or under its control that is inaccurate, irrelevant, excessive, out of date, incomplete, misleading or obtained unlawfully; or
- (b) destroy or delete a record of Personal Information about the Data Subject that Siqalo is no longer authorised to retain in terms of POPIA.

11.1.3 a right to object to processing: any Data Subject that has previously consented to the Processing of its Personal Information has the right to withdraw consent and may do so by providing Siqalo with notice, in the prescribed form at the address in section 3 above. Furthermore, a Data Subject may object, on reasonable grounds, to the Processing of Personal Information relating to it.